

RECEIPT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of)

David W. LaFore and Kyle L. Brennan)

Serial No.: 09/497,272)

Filed: February 3, 2000)

For: "Data Processing System and
Method for Managing Broker
Transaction Information")

Office of Initial Patent Examination's
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

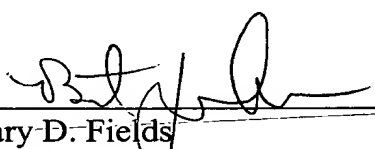
Sir/Madam:

In a review of the Filing Receipt received in connection with the above-identified application, a copy of which is enclosed, it has been noted that through no fault of the undersigned that the first applicant's name is misspelled. This applicant's name should read: "David W. LaFore," as evidenced by the enclosed Declaration and Power of Attorney which was previously filed. Please correct the Filing Receipt accordingly.

In view of the foregoing, it is respectfully requested that a "Corrected Filing Receipt" be issued.

Respectfully submitted,

FIELDS & JOHNSON, P.C.


Gary D. Fields
Brent P. Johnson
Attorneys for Applicant
8480 E. Orchard Road, Suite 5600
Englewood, CO 80111
(303) 689-9100

Date: 9/5/00

REQUEST FOR
CORRECTED FILING
RECEIPT

Art Unit: 2784

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 9/5/00

(Date of Deposit)
Katherine L. Gonzales
Name of applicant, assignee, or Registered Rep.

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UNITED STATES PATENT AND TRADEMARK OFFICE

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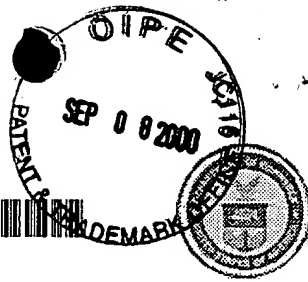
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Bib Data Sheet

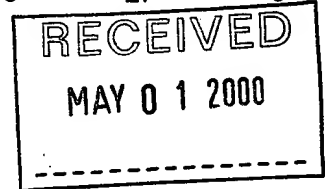
SERIAL NUMBER 09/497,272	FILING DATE 02/03/2000 RULE -	CLASS 712	GROUP ART UNIT 2784	ATTORNEY DOCKET NO. J99.10	
APPLICANTS David W. LaFore, Littleton, CO ; Kyle L. Brennan, Aurora, CO ; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 04/27/2000 ** SMALL ENTITY **					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		STATE OR COUNTRY CO	SHEETS DRAWING 45	TOTAL CLAIMS 27	INDEPENDENT CLAIMS 5
ADDRESS Sheridan Ross PC Brent P. Johnson Fields & Johnson PC 1560 Broadway 8480 East Orchard Road Suite 5600-120 Denver Englewood, CO 80144 80202					
TITLE Data processing system and method for managing broker transaction information					
FILING FEE RECEIVED 1037	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

FILING RECEIPT

OC00000005083953

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/497,272	02/03/2000	2784	1037	J99.10	45	27	5

Brent P Johnson
Fields & Johnson PC
8480 East Orchard Road
Suite 5600
Englewood, CO 80111

Date Mailed: 04/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)David W. Lafore, Residence Not Provided;
Kyle L. Brennan, Residence Not Provided;**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 04/27/2000

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Title

Data processing system and method for managing broker transaction information

Preliminary Class

712

Data entry by : BROWN, ROSCOE

Team : OIPE

Date: 04/28/2000



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